

02-28-07

PCT

IAP12 Rec'd PCT/PTO 27 MAR 2007



PTO/SB/21 (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/542,820-Conf. #8937
		Filing Date	July 20, 2005
		First Named Inventor	Philippe Halbin
		Art Unit	2834
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	11	Attorney Docket Number	17170/012001

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Reply to Missing Parts/Incomplete Application  <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request for Corrected Filing Receipt with attachments (9 pages)  Certificate of Mailing (1 page)  Return Receipt Postcard
<div style="border: 1px solid black; padding: 5px; min-height: 80px;">         Remarks       </div>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	OSHA · LIANG LLP		
Signature	<i>[Signature]</i> #45,079		
Printed name	Jonathan P. Osha THOMAS SCHERER		
Date	March 26, 2007	Reg. No.	33,986



Docket No.: 17170/012001  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Philippe Halbin et al.

Application No.: 10/542,820

Confirmation No.: 8937

Filed: July 20, 2005

Art Unit: 2834

For: METHOD OF MOUNTING A RETAINING  
RING ON AN ELECTRIC STARTER SHAFT  
COMPRISING A STARTER DRIVE  
ASSEMBLY AND CORRESPONDING  
STARTER

Examiner: Not Yet Assigned

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby request that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt and the Notice of Acceptance of Application received by Applicants, copies of which are attached hereto, have an error in the filing date. The Response to Notification of Missing Requirements was filed on December 20, 2005. The Filing Receipt and the Notice of Acceptance of Application show the incorrect filing date of December 30, 2005. Applicant respectfully request that the filing date be corrected from "12/30/2005" to --12/20/2005--. Enclosed for your reference is a copy of the date stamped express mail certificate and a copy of the certificate of express mailing which show the date of filing to be December 20, 2005. Please note that no returned date stamped post card was received from the PTO.

Application No.: 10/542,820

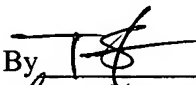
Docket No.: 17170/012001

Applicants additionally request that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

Applicants believe no fee is due with this request. However, if a fee is due, please charge our Deposit Account No. 50-0591, under Order No. 17170/012001.

Dated: March 27, 2007

Respectfully submitted,

By  #45,079  
Jonathan P. Osha THOMAS SCHERER  
Registration No.: 33,986  
OSHA · LIANG LLP  
1221 McKinney St., Suite 2800  
Houston, Texas 77010  
(713) 228-8600  
(713) 228-8778 (Fax)



RECEIVED  
APR 03 2006

UNITED STATES PATENT AND TRADEMARK OFFICE

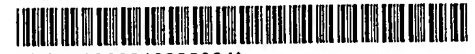
UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/542,820	12/30/2005	2834	1030	17170/012001	4	10	2

CONFIRMATION NO. 8937

22511  
OSHA LIANG L.L.P.  
1221 MCKINNEY STREET  
SUITE 2800  
HOUSTON, TX 77010

FILING RECEIPT



\*OC000000018399064\*

**Docketed Action:**  
☒ New    ☐ Previously    ☐ Non Required  
 Docket Number: 17170/012001  
 Attorney Initials: SPS/HOP  
 Docketing Initials: JS

Date Mailed: 03/29/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Philippe Halbin, Villefontaine, FRANCE;  
Thierry Paltrie, Lyon, FRANCE;

**Power of Attorney:** The patent practitioners associated with Customer Number 22511.

**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/FR04/00130 01/21/2004

**Foreign Applications**

FRANCE 03/00644 01/21/2003

**Projected Publication Date:** 07/06/2006

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Method of mounting a retaining ring on an electric starter shaft comprising a starter drive assembly and corresponding starter

**Preliminary Class**

290

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/542,820	Philippe Halbin	17170/012001

INTERNATIONAL APPLICATION NO.
-------------------------------

PCT/FR04/00130

I.A. FILING DATE	PRIORITY DATE
01/21/2004	01/21/2003

22511  
OSHA LIANG L.L.P.  
1221 MCKINNEY STREET  
SUITE 2800  
HOUSTON, TX 77010

CONFIRMATION NO. 8937

371 ACCEPTANCE LETTER



\*OC000000018399065\*

Date Mailed: 03/29/2006

## NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

<u>12/30/2005</u>	<u>12/30/2005</u>
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 07/20/2005
- English Translation of the IA filed on 07/20/2005
- Copy of the International Search Report filed on 07/20/2005
- Copy of IPE Report filed on 07/20/2005
- Preliminary Amendments filed on 12/30/2005
- Information Disclosure Statements filed on 07/20/2005
- Oath or Declaration filed on 12/30/2005
- Request for Immediate Examination filed on 07/20/2005
- U.S. Basic National Fees filed on 07/20/2005
- Assignment filed on 12/30/2005
- Priority Documents filed on 07/20/2005

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

---

KAREN M WILLIAMS

Telephone: (703) 308-9140 EXT 213

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)

FLAT RATE POSTAGE  
REGARDLESS OF WEIGHT

EXTREMELY URGENT

Please Rush To Addressee

1-800-222-1811 FOR PICKUP OR TRACKING OF ALL YOUR PACKAGES

EXPRESS MAIL  
POSTAGE REQUIRED  
DOMESTIC USE

www.usps.com

Mailing Label  
Label 11-F, April 2004



UNITED STATES POSTAL SERVICE® Post Office To Addressee

DELIVERY (POSTAL USE ONLY)	
Delivery Attempt	Time <input type="checkbox"/> AM <input type="checkbox"/> PM Employee Signature
Mo. Day	
Delivery Attempt	Time <input type="checkbox"/> AM <input type="checkbox"/> PM Employee Signature
Mo. Day	
Delivery Date	Time <input type="checkbox"/> AM <input type="checkbox"/> PM Employee Signature
Mo. Day	
Fee	
Insurance Fee	
Postage	
Initials	

TO: (PLEASE PRINT) MAIL STOP  
COMMISSIONER FOR PATENTS  
PO BOX 1450  
ALEXANDRIA VA 22313-1450

2026 PB



EV 710215583 US



Post Office To Addressee

Customer Copy  
Label 11-F, April 2004

ORIGIN (POSTAL SERVICE USE ONLY)	
PO ZIP Code 77010	Day of Delivery <input type="checkbox"/> Next <input checked="" type="checkbox"/> 2nd <input type="checkbox"/> 2nd Del. Day
Date Accepted 12 20 05	Scheduled Date of Delivery 12 22
Mo. Day Year	Scheduled Time of Delivery <input checked="" type="checkbox"/> Noon <input type="checkbox"/> 3 PM
Time Accepted 4:47 PM	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day
Flat Rate <input type="checkbox"/> or Weight 5.10 lbs.	Int'l Alpha Country Code
Postage \$ 13.65	Return Receipt Fee \$
COD Fee \$	Insurance Fee \$
Total Postage & Fees \$ 13.65	Acceptance Emp. Initials

DELIVERY (POSTAL USE ONLY)	
Delivery Attempt	Time <input type="checkbox"/> AM <input type="checkbox"/> PM Employee Signature
Mo. Day	
Delivery Attempt	Time <input type="checkbox"/> AM <input type="checkbox"/> PM Employee Signature
Mo. Day	
Delivery Date	Time <input type="checkbox"/> AM <input type="checkbox"/> PM Employee Signature
Mo. Day	
Fee	
Insurance Fee	
Postage	
Initials	

FROM: (PLEASE PRINT) OSHA LIANG LLP  
ONE HOUSTON CENTER STE 2800  
1221 MCKINNEY ST  
HOUSTON TX 77010-2026

MAIL STOP  
COMMISSIONER FOR PATENTS  
PO BOX 1450  
ALEXANDRIA VA 22313-1450

FOR PICKUP OR TRACKING: Visit [www.usps.com](http://www.usps.com) or Call 1-800-222-1811

FOR PICKUP OR TRACKING: Visit [www.usps.com](http://www.usps.com) or Call 1-800-222-1811

F-02 1:12

2937-500

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV710215583US in an envelope addressed to:

MS PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on December 20, 2005  
Date

Debra V. Wieser  
Signature

22511

PATENT TRADEMARK OFFICE

Debra V. Wieser

Typed or printed name of person signing Certificate

Registration Number, if applicable

(713) 228-8600

Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal Letter to the United States Designated-Elected Office (3 pages)

Copy of Notification of Missing Requirements Under 35 U.S.C. 371 (2 pages)

Preliminary Amendment with attachment (7 pages)

Declaration and POA for Patent Application (3 pages)

Assignment (2 pages)

Recordation Form Cover Sheet (1 page)

Payment by credit card. Form PTO-2038 is attached (1 page); charge \$170.00 to credit card

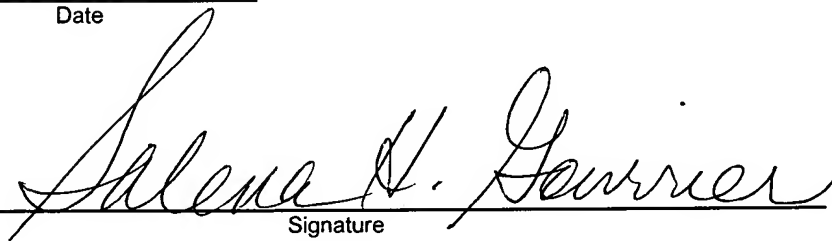
Return Receipt Postcard (1 page)

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EM052144566US in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on March 27, 2007  
Date

  
Signature

Salena Hearne Gourrier

Typed or printed name of person signing Certificate

Registration Number, if applicable

(713) 228-8600  
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Request for Corrected Filing Receipt with attachments (9 pages)  
Transmittal (1 page)  
Return Receipt Postcard